

Non-Financial Violations
Appendix: Variable Definitions
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Data on violations is obtained from Violation Tracker, which identifies primary offenses of each violation. Violations are assigned into six categories: employment, environment, government, competitor, customer, and shareholder violations, based on the primary offense.

| Type | Category | Primary Offenses |
|-------------------------|--------------------------|--|
| Non-Financial Violation | Competitor Violation: | agribusiness violation, energy market manipulation, energy market violation, export control violation, foreign exchange market manipulation, foreign corrupt practices act, fraud, intellectual property violation, interest rate benchmark manipulation, Jones Act violation, kickbacks and bribery, maritime violation, price-fixing or anti-competitive practices, theft of trade secrets, trade violations. |
| | Customer Violation: | Americans with Disabilities Act, aviation consumer protection violation, aviation safety violation, Clery Act campus security reporting violation, consumer protection violation, dam safety violation, discriminatory practices (non-employment), drug or medical equipment safety violation, Fair Credit Reporting Act violation, food safety violation, housing code violation, insurance violation, mortgage abuses, nursing home violation, payday lending violation, privacy violation, product safety violation, railroad safety violation, Servicemembers Civil Relief Act, telecommunications violation, tobacco litigation, utility safety violation, utility service violation. |
| | Employee Violation: | benefit plan administrator violation, child labor or youth employment violation, employment discrimination, employment screening violation, Family and Medical Leave Act, labor relations violation, mining violation, motor vehicle safety violation, nuclear safety violation, workplace safety or health violation, wage and hour violation, work visa violations, workplace whistleblower retaliation. |
| | Environmental Violation: | energy conservation violation, environmental violation, fuel economy (CAFE) violation, offshore drilling violation. |
| | Government Violation: | Archeological Resources Protection Act, campaign finance violation, casino violation, civil contempt, computer intrusion, Controlled Substances Act violation, customs duty evasion, data submission deficiencies, False Claims Act and related, false statements, federal leasing royalty violation, fetal tissue violation, firearms violation, HHS civil monetary penalties, housing program violation, illicit political contributions, illegal gambling business, interstate prisoner transport violation, Medicare coverage gap discount program violation, Medicare parts C and D enforcement action, misuse of classified data, obstruction of justice, off-label or unapproved promotion of medical products, payment to designated terrorist organization, postal violation, racketeering, sexual exploitation of minors, theft, utility administrative violation, zoning violation. |
| Financial Violation | Shareholder Violation: | accounting fraud or deficiencies, anti-money-laundering deficiencies, bankruptcy professional violation, banking violation, economic sanction violation, excise tax violation, insider trading, investor protection violation, money laundering, securities issuance or trading violation, tax violations, toxic securities abuses. |